



NEPAD PLANNING AND COORDINATING AGENCY

REQUEST FOR EXPRESSIONS OF INTEREST (REOI)

CONSULTING SERVICES – FIRMS SELECTION

CONSULTANCY SERVICES TO CONDUCT A COMPREHENSIVE, IN-DEPTH STUDY AND ANALYSIS OF SMALL HOLDER FARMERS' ACCESS TO CLIMATE FINANCE IN AFRICA

PROCUREMENT NUMBER: 06/NPCA/NRG/NORAD/CQS/2018

INTRODUCTION

Within the context of global challenges of food insecurity, climate variability, urbanization and population explosion, Africa continues to have its own peculiar challenges particularly within the rural space. Africa faces unique challenges for the next decades in securing decent livelihoods and employment for young people in both urban and rural areas, but in particular in the latter.

Additionally, climate change is a serious risk to poverty reduction and could undo decades of development efforts. While climate change is global, its negative impacts are more severely felt by poor people and poor countries. Small Holder Farmers are more vulnerable because of their high dependence on natural resources and limited capacity to cope with climate variability and extremes. Restoring and maintaining key ecosystems can help communities in their adaptation efforts and support livelihoods that depend upon the services of these ecosystems. Therefore, moving towards low-carbon societies can help reduce greenhouse gas emissions, improve human health and well-being and create green jobs within the agriculture.

Significantly also, there is no definitive understanding of the amount of climate or development finance that reaches local actors. According to the International Institute on Environment and Development (IIED), a preliminary estimate of the finance channelled to local climate activities puts the flow below ten per cent (US\$1.5 billion) from international, regional and national climate funds between 2003 and 2016.

General Objectives

Following the ratification of the landmark Paris Agreement on Climate Change, the world and indeed Africa require a scale-up in climate finance for which international funds will play an important role. Delivering on the ambitions encapsulated in the Paris climate framework, and in particular the recent historic decision arrived at in COP 23 which signalled that agriculture also be discussed within the Subsidiary Body on Implementation (SBI), means that access to climate finance especially for the agriculture sector will be critical cog in meeting the developmental commitments of countries under the Paris Agreement.

An assessment of the Nationally Determined Contributions indicate that all African countries mention agriculture within the NDCs and 23 of those countries specifically refer to climate smart agriculture in the NDCs. This signifies the importance that African countries have attached to the agricultural sector within the Paris climate framework.

Specific Primary Objectives of Consultancy

The objective of the consultancy is to conduct a comprehensive, in-depth study and analysis of climate funding for the agriculture sector in Africa. The study will be carried out from a sub-regional perspective with a particular focus on four countries, namely Kenya (East Africa), Cameroon (Central Africa), Ghana (West Africa), and Zambia (Southern Africa).

NEPAD's approach to the challenge of climate change within the agricultural sector is multi-sectorial and hinged on improving and strengthening climate adaptation and mitigation practices and readiness through increasing smallholder farmers' access to finance, technology and capacity building amongst others. The paradigm is based on the understanding that a climate resilient economy is a vital and critical cog for socio-economic transformation and for meeting the developmental targets of Agenda 2063 First ten year Implementation Plan.

Ultimately, the findings from the study will support evidence based planning and technical support that the NEPAD Agency provides to Member States and institutions through the Africa Climate Smart Agriculture Alliance as well as its climate finance support to African countries.

Specific Objectives

- To conduct an overall analytical assessment of state of international climate finance in Africa particularly highlighting sectors that are receiving significant inflows;
- To ascertain how African countries and institutions have access to international climate finance for the agricultural sector;
- To understand public sector finance for the agricultural sector and overall commitment of national governments and private sector institutions to support climate change and agriculture through sustainable climate finance;
- To undertake an assessment on the estimates of climate finance that reaches small holder farmers, especially in rural poor communities;
- To illustrate how best practices in the study areas could be scaled up and replicated with particular reference to climate finance;
- To understand the structure, design of institutional mechanisms and processes that facilitate access to climate finance by small scale farmers; and
- Suggest possible measures to improve access to climate finance for small holder farmers, especially within poor and vulnerable groups.

Scope/Main Responsibilities of the Assignment:

The consultant/consulting firm is expected:

- To engage with key stakeholders including farmers, policy makers, and institutions to undertake a comprehensive and in-depth study of access to climate finance for small holder farmers in the 4 countries of the 4 AU Regions;
- Review guidelines and background documents on climate finance in the respective countries and regions;
- Undertake a review on policies, legal and regulatory frameworks to determine their relevancy and adequacy in addressing access to climate finance for small holder farmers;
- Carry out analysis of the government budget allocation, disbursement and actual expenditure on climate finance for small scale holder farmers;
- Establish quantitatively climate funds pledged and disbursed directly to projects and programmes by development partners for the agriculture sector and access by small holder farmers;
- Assess the extent to which the allocation and expenditure of climate funds to the agricultural sector is in accordance with the national and global priorities and practices;
- Assess the adequacy of the existing policies, legal and regulatory frameworks, planning, budgeting and institutional arrangements in addressing identified gaps in access and effective utilization of climate finance; and
- Facilitation of a workshop to validate the outcomes of the study.

The NEPAD Planning and Coordinating Agency (NPCA) now invites eligible consulting firms (“Consultants”) to indicate their interest in providing the Services. Interested Consultants should provide information demonstrating that they have the required qualifications and relevant experience to perform the Services. The shortlisting criteria are:

- The Consulting Firm should have a minimum of 6 years of experience in the required fields of International Climate Finance, Agriculture Economics, Gender, Climate Change, Environment;
- The firm should have a proven track record of similar assignments;
- The firm should possess deep knowledge and understanding of International Climate Finance and how it relates to Africa;
- The consulting firm should also have expertise in agricultural systems;
- Expertise in areas of climate change mitigation and adaptation, environmental issues, agriculture, food security and livelihoods;
- Knowledge and understanding climate smart agriculture programs/projects (including preparation of documents, processes, costing); and
- Must have a team that comprises of the following experts:

Team Leader/Lead Consultant

- The Lead consultant should have at least a Master’s Degree in International Climate Finance, Agriculture Economics, Gender Studies, Climate Change, Climate Finance and Environment with at least 10 years professional experience in similar or a related area of this assignment;
- In depth understanding of international climate financing especially the Green Climate Fund (GCF) processes and requirements;
- Should demonstrate experience and understanding of supporting smallholder farmers in Africa to effectively engage in climate smart agriculture;
- Extensive knowledge of the UNFCCC climate change negotiations and the Paris Agreement;
- Experience with climate smart/resilient agriculture;
- Knowledge of the Comprehensive Africa Agriculture Development Programme (CAADP) process is essential; and
- Working in/with international organisations, preferably with working experience in African countries.

Local Country Coordinators (x4)

- At least four key staff members with at least five (5) years of experience working in the field of climate finance, agriculture economics and environment/climate change;
- Local Country Consultants should have at least Master’s Degree in International Climate Finance, Agriculture Economics, Climate Change, Climate Finance and Environment with at least 5 years professional experience in similar or a related area of this assignment;
- Should have at least five years of experience in organizing, advising, working with government policy makers, farmers organizations and development partners especially in the area of climate smart agriculture;
- Be familiar with Africa and specifically the countries in which the research will be carried out (East Africa), Cameroon (Central Africa), Ghana (West Africa), Zambia (Southern Africa); and
- Should demonstrate ability to engage with the relevant stakeholders, i.e. senior government officials, Agriculture, Finance policymakers and regulators as well as private and public sector enterprises and farmers.

Reporting and Time Schedules: The duration of assignment is expected to last for three (3) months. The Consultant will report directly to the Director of Programme Implementation and Coordination Directorate (PICD) through the Officer designated for the assignment.

Consultants may associate with other firms in the form of a joint venture or a sub-consultancy to enhance their qualifications. Firms from African Union Members States and/or joint ventures will have added advantage.

A Consultant will be selected in accordance with the Consultants Qualifications Selection (CQS) method set out in the AU Procurement Manual. This is an Expression of Interest only and submission of Financial Proposals is not applicable at this stage.

Interested Consultants may obtain further information at the address below during office hours (08:00 - 17:00 South Africa time). Expressions of interest must be delivered to the address below by the **27th March 2018 by 14:30 hours (South African Time)** and should be clearly marked as **“CONSULTANCY SERVICES TO CONDUCT A COMPREHENSIVE, IN-DEPTH STUDY AND ANALYSIS OF SMALL HOLDER FARMERS’ ACCESS TO CLIMATE FINANCE IN AFRICA. REF: 06/NPCA/NRG/NORAD/CQS/2018”**. EOIs can also be sent by email, addressed to the address below:

The Chairperson Internal Procurement Committee (IPC)

NEPAD Planning and Coordination Agency
230, 15th Road, P. O. Box 218 Midrand,
1685 Johannesburg, South Africa
Email: procurement@nepad.org

Attention of: Procurement Division